

03/19/01  
1c9733 U.S. PRO

03-21-01

A

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on March 19, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL751063967US**, addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Attorney Docket No.: WVANP012

First Named Inventor: Franklin Goodhue  
Woodward

1c9733 U.S. PRO  
09/013235  
03/19/01

*Terrance Stratten*  
Terrance Stratten

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
**Franklin Goodhue Woodward, James Connell Mills, Randolph Ashton Hodge, Andrew Karl Miller  
and Joyo Wijaya**

For: **TECHNIQUE FOR HANDLING SALES OF REGULATED ITEMS IMPLEMENTED OVER  
A DATA NETWORK**

Assigned to: Webvan Group, Inc.

**Application Elements:**

- ☒ 40 Pages of Specification, Claims and Abstract  
☒ 8 Sheets of formal Drawings  
☒ 3 Pages Combined Declaration and Power of Attorney - Unexecuted

**Accompanying Application Parts:**

- ☒ Do not publish this application. Nonpublication Request is attached.  
☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)  
☐ 37 CFR 3.73(b) Statement by Assignee  
☐ Information Disclosure Statement with Form PTO-1449  
☐ Copies of IDS Citations  
☐ Preliminary Amendment  
☒ Return Receipt Postcard  
☐ Other:

Fee Calculation (37 CFR § 1.16)

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☐ Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

	(Col. 1) Total Claims		(Col. 2) Claims	(Col. 3) Present Extra	Rate	Additional Fee
TOTAL		MINUS	20	=	x 18	
INDEP.		MINUS	3	=	x 80	
[ ] First presentation of multiple dependent claim					\$270	
Basic Filing Fee under 37 C.F.R. §1.16(a)					\$710	710.00
TOTAL						
SMALL ENTITY 50% FILING FEE REDUCTION (if applicable)						

☒ **PLEASE DEFER FILING FEES AT THIS TIME**

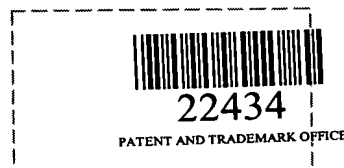
☐ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. ).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. WVANP012).

☒ Please send correspondence to the following address:

**Customer Number 022434**  
**BEYER WEAVER & THOMAS, LLP**  
 P.O. Box 778  
 Berkeley, CA 94704-0778  
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 Fax (510) 843-6203



Date: March 19, 2001

Dean E. Wolf  
 Registration No. 37,260

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Woodward et al.

Attorney Docket No.: WVANP012

Application No.: NEW

Examiner: UNASSIGNED

Filed: HEREWITH

Group: UNASSIGNED

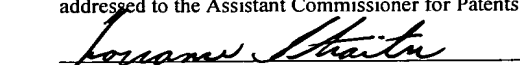
Title: TECHNIQUE FOR HANDLING SALES  
OF REGULATED ITEMS  
IMPLEMENTED OVER A DATA  
NETWORK

1c973 U.S. PTO  
09/813235

03/19/01

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Torrance Straiten

NONPUBLICATION REQUEST AND CERTIFICATION  
(35 U.S.C. 122(b)(2)(B)(i))

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen (18) months after filing. I hereby request that the attached application **NOT** be published under 35 U.S.C. 122(b).

Date:

3/19/01

  
Dean E. Wolf

Registration No. 37,260

## NOTE:

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications, eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

[illegible]